

# PMIP publications for AR6

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Number of submitted references: **177**

Notes:

- References are not sorted, and listed in the order they were submitted to the *PMIP publications for the AR6-WG1 questionnaire*
- There may be some duplicates (same ref submitted by different people)

## Chapter 1: Framing, context, methods

Number of selected references: **11**

- Shi, H., B. Wang, E. R. Cook, J. Liu, and F. Liu, 2018: Asian summer precipitation over the past 544 years reconstructed by merging tree rings and historical documentary records. *J. Clim.*, 31, 7845-7861, <https://doi.org/10.1175/JCLI-D-18-0003.1>
  - The paper presents a new gridded Asian summer precipitation reconstruction (8.75°S-55.25°N, 61.25°-143.25°E) since AD 1470, and discussed the long-term variability modes of the past summer precipitation over Asian land.
- Wu, C. J.; Usoskin, I. G.; Krivova, N. et al., 2018: Solar activity over nine millennia: A consistent multi-proxy reconstruction, *Astronomy & Astrophysics*, Volume 615, id.A93, 13 pp., <https://doi.org/10.1051/0004-6361/201731892>
  - This paper describes the state-of-the-art method and the results of the first multi-isotope composite, which is used to reconstruct the solar activity over the Holocene.
- C.-J. Wu, N. A. Krivova et al., 2018, Solar total and spectral irradiance reconstruction over the last 9000 years, *A&A*, Forthcoming article, <https://doi.org/10.1051/0004-6361/201832956>
  - This paper describes the methods and the state-of-the-art results of solar irradiance reconstruction over the last 9000 years using a physics-based model. The reconstructed irradiance is recommended to serve as solar forcing in climate models.
- Otto-Bliesner, B.L. et al., 2017: The PMIP4 contribution to CMIP6 - Part 2: Two interglacials, Scientific objectives and experimental design of the PMIP4-CMIP6 Holocene and Last Interglacial simulations. *Geoscientific Model Development*, 10, 3979-4003, <https://doi.org/10.5194/gmd-10-3979-2017>
  - This paper describes the protocols for the mid-Holocene (6 ka) and Last Interglacial (127 ka) Tier 1 simulations, as well as numerous Tier 2 simulations to assess the sensitivities to prescribed vegetation, ice sheets, freshwater fluxes, and alternative states of orbital forcing. For the first time, the LIG is included for CMIP6 and PMIP4, allowing a multi-model assessment of this important period for testing our knowledge of climate-ice sheet interactions in warm climates.
- Kadow, C., S. Illing, I. Kröner, U. Ulbrich and U. Cubasch, 2017: Decadal climate predictions improved by ocean ensemble dispersion filtering. *J. Adv. Model. Earth Syst.* 9, doi 10.1002/2016M5000787
  - recent mode development and its performance improvements

- Zanchettin, D., Khodri, M., Timmreck, C., Toohey, M., Schmidt, A., Gerber, E. P., Hegerl, G., Robock, A., Pausata, F. S. R., Ball, W. T., Bauer, S. E., Bekki, S., Dhomse, S. S., LeGrande, A. N., Mann, G. W., Marshall, L., Mills, M., Marchand, M., Niemeier, U., Poulain, V., Rozanov, E., Rubino, A., Stenke, A., Tsigaridis, K., and Tummon, F.: The Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP): experimental design and forcing input data for CMIP6, *Geosci. Model Dev.*, 9, 2701-2719, doi:10.5194/gmd-9-2701-2016, 2016, 10.5194/gmd-9-2701-2016, 2016
  - This paper presents the experimental design of the “Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP), which includes idealized experiments focused on the short-term atmospheric as well as long-term coupled response to volcanic forcing. The core idea of VolMIP is to constrain radiative forcing among the participating models to focus on the climatic response, hence reference forcing data are provided in terms of optical aerosol properties for a series of reference eruptions.
- Zanchettin, D., O. Bothe, H. F. Graf, S. J. Lorenz, J. Luterbacher, C. Timmreck, and J. H. Jungclaus (2013) Background conditions influence the decadal climate response to strong volcanic eruptions. *J. Geophys. Res. Atm.*, 118(10): 4090-4106, doi:10.1002/jgrd.50229, 10.1002/jgrd.50229
  - This study illustrates how the decadal climate response to strong volcanic eruptions depends on the background climate state at the time of the eruption and on the presence and magnitude of additional external forcings acting at the same time. It demonstrates that background climate conditions are not merely a source of additive noise for post-eruption decadal climate variability but actively influence the mechanisms involved in the post-eruption decadal evolution.
- Fernández-Donado, L. et al, 2013. Large-scale temperature response to external forcing in simulations and reconstructions of the last millennium. *Climate of the Past*, 9 (1), pp. 393-421., <https://doi.org/10.5194/cp-9-393-2013>
  - This paper represents an extensive review of the state-of-the-art modelling and reconstructions effort for the last millennium (LM) previous to the coordinated approach within the CMIP5-PMIP3 community, as the basis of knowledge achieved prior the agreement of LM radiative forcings. Additionally, this work provides a quantitative framework to analyse the consistency between reconstructions and simulations in their response to external forcings and the respective role of the internal variability.
- Pavón-Carrasco, F.J. et al., 2018. Multi-centennial fluctuations of radionuclide production rates are modulated by the Earth's magnetic field. *Scientific reports*, 8(1), p.9820, <https://doi.org/10.1038/s41598-018-28115-4>
  - A revision of the standard accepted assumptions to reconstruct the solar activity during the Holocene is presented in this work. It is shown that not only the solar magnetic field but also the geomagnetic field has a relevant role for the timescales of interest. The latter suggests the need of reviewing how past solar forcing estimations are obtained, with relevant implications for the solar-climate relation as well as for the present and future generation of paleo simulations.
- Harrison, S. P., Bartlein, P. J., Izumi, K., Li, G., Annan, J., Hargreaves, J., et al. (2015). Evaluation of CMIP5 palaeo-simulations to improve climate projections. *Nature Climate Change*, 5(8), 735-743. , <http://doi.org/10.1038/nclimate2649>
  - Past climate changes provide a unique opportunity for out-of-sample evaluation of model performance. Palaeo-evaluation has shown that the large-scale changes seen in twenty-first-century projections, including enhanced land-sea temperature contrast, latitudinal amplification, changes in temperature seasonality and scaling of precipitation with

temperature, are likely to be realistic.

- Schmidt, G. A., Annan, J. D., Bartlein, P. J., Cook, B. I., Guilyardi, E., Hargreaves, J. C., et al. (2014). Using palaeo-climate comparisons to constrain future projections in CMIP5. *Climate of the Past*, 10(1), 221-250. , <http://doi.org/10.5194/cp-10-221-2014>
  - Using palaeo-climate comparisons to constrain future projections!

## Chapter 2: Changing state of the climate system

Number of selected references: **87**

- PAGES2k-PMIP3 group: Bothe O, M. Evans, L. Fernández Donado, E. Garcia Bustamante, J. Gergis, F. J. Gonzalez-Rouco, H. Goosse , G. Hegerl, A. Hind, J. Jungclaus, D. Kaufman, F. Lehner, N. McKay, A. Moberg, C. C. Raible, A. Schurer, F. Shi, J. Smerdon, L. von Gunten, S. Wagner, E. Warren, M. Widmann, P. Yiou, E. Zorita, 2015. Continental-scale temperature variability in PMIP3 simulations and PAGES 2k regional temperature reconstructions over the past millennium. *Climate of the Past*, 11, 1673-1699, 2015 [www.clim-past.net/11/1673/2015/](http://www.clim-past.net/11/1673/2015/) , <https://doi.org/10.5194/cp-11-1673-2015>
  - The paper presents a thorough comparison between simulation and model results at the continental scale over the past millennium
- Klein F., H. Goosse, D. Verschuren, N. Graham, 2016. Comparison of simulated and reconstructed variations in East African hydroclimate over the last millennium. *Climate of the Past*, 12, 1499-1518 , <https://doi.org/10.5194/cp-12-1499-2016>
  - The paper shows the large role of natural variability in past precipitation changes in East Africa and discuss the role of Indian ocean SST.
- Shi, H., B. Wang, E. R. Cook, J. Liu, and F. Liu, 2018: Asian summer precipitation over the past 544 years reconstructed by merging tree rings and historical documentary records. *J. Clim.*, 31, 7845-7861, <https://doi.org/10.1175/JCLI-D-18-0003.1>
  - The paper presents a new gridded Asian summer precipitation reconstruction (8.75°S-55.25°N, 61.25°-143.25°E) since AD 1470, and discussed the long-term variability modes of the past summer precipitation over Asian land.
- Shi, H., and B. Wang, 2018: How does the Asian summer precipitation-ENSO relationship change over the past 544 years? *Clim. Dyn.*, <https://doi.org/10.1007/s00382-018-4392-z>
  - The paper presents the secular changes (centennial) of the Asian summer precipitation-ENSO relationship since AD 1470 and the possible causes. It also discussed the major modes of variability of the Asian summer rainfall on the interannual timescale.
- Fasullo, J.T., R. Tomas, S. Stevenson, B. Otto-Bliesner, E. Brady, E. Wahl, 2017: The amplifying influence of increased ocean stratification on a future year without a summer, *Nature Communications*, 8, 1236. doi:10.1038/s41467-017-01302-z, <https://doi.org/10.1038/s41467-017-01302-z>
  - CESM simulations of both the 1815 Tambora eruption and a hypothetical analogous future eruption are compared, the latter occurring in 2085 assuming a business-as-usual climate scenario. The 1815 eruption drove strong responses in both the ocean and cryosphere that were fundamental to driving the Year-Without-A-Summer. Through modulation of ocean stratification and near-surface winds, global warming contributes to an amplified surface climate response in CESM.
- Stevenson, S, J. Overpeck, J. T. Fasullo, S. Coats, L. Parsons, B. Otto-Bliesner, T. R. Ault, G.

- Loope, J. Cole, 2018: Climate Variability, Volcanic Forcing, and Last Millennium Climate Extremes, *Journal of Climate*, 31, 4309-4327., n/a
- The Community Earth System Model (CESM) Last Millennium Ensemble to examine statistical associations between regional mega-events (megadroughts and megapluvials), coupled climate modes, forcing from major volcanic eruptions.
- Hood, L., S. Schimanke, Th. Spanghel, S. Bal, and U. Cubasch, 2013: The surface climate response to 11-yr solar forcing during northern winter: observational analyses and comparisons with GCM simulations. *J. Climate*, 26, 7489-7506., doi: <http://dx.doi.org/10.1175/JCLI-D-12-00843.1>
    - analysis of the natural climate response to the 11-year solar cycle
  - 97. Langematz, U., A. Kubin, C. Brühl, A. J. G. Baumgaertner, U. Cubasch, and Th. Spanghel, 2013: Solar Effects on Chemistry and Climate Including Ocean Interactions. Chapter 29, *Climate and Weather of the Sun-Earth System (CAWSES): Highlights from a Priority Program*, Ed. F. J. Lübken, p 541-571. Springer, , DOI: 10.1007/978-94-007-4348-9\_29.
    - Solar effects on natural variability
  - Polanski, S., B. Fallah, D. J. Befort, S. Prasad and U. Cubasch, 2014: Regional moisture change over India during the past Millenium: A comparison of multi-proxy reconstructions and climate model simulations. *Global and Planetary Change*, 122, 176-185, , [dx.doi.org/10.1016/j.gloplacha.2014.08.016](http://dx.doi.org/10.1016/j.gloplacha.2014.08.016)
    - regional moisture variability during the past millennium over India
  - Bürger, G. and U. Cubasch, 2015: The detectability of climate engineering. *J. Geophys. Res. Atmos.*, 120, , doi:10.1002/2015JD023954
    - application of radiative forcing climate engineering
  - Fallah, B., U. Cubasch, K. Prömmel, S. Sodoudi, 2015: A numerical model study on the behaviour of Asian summer monsoon and AMOC due to orographic forcing of Tibetan Plateau. *Clim. Dyn.*, DOI 10.1007/s00382-015-2914-5.
    - link between AMOC and Asian summer monsoon
  - Otto-Bliesner, B.L. et al., 2017: The PMIP4 contribution to CMIP6 - Part 2: Two interglacials, Scientific objectives and experimental design of the PMIP4-CMIP6 Holocene and Last Interglacial simulations. *Geoscientific Model Development*, 10, 3979-4003, doi:10.5194/gmd-10-3979-2017
    - regional temperature evolution over Europe during the Holocene
  - Babian, S., H. W. Rust, J. Grieger, K. Prömmel and U. Cubasch, 2016: Representation of the Antarctic Oscillation and related precipitation patterns in the MPI Earth System Model, *Met. Zeitschrift*, , DOI 10.1127/metz/2016/0661
    - Antarctic oscillation variability and modeled precipitation pattern
  - Bal, S., S. Schimanke, T. Spanghel and U. Cubasch, 2017: Variable influence on the equatorial troposphere associated with SSW using ERA-interim. *J. Earth. Sys. Sci.*, 126:19, DOI10.1007/s12040-017-0802-6
    - Natural variability of SSW and tropical troposphere
  - Babian, S., J. Grieger and U. Cubasch, 2018: A new index for the wintertime southern hemisphere split jet. *Atmos. Chem. Phys.*, 18, 6749-6760, doi.org/10.5194/acp-18-6749-2018
    - improved description of southern hemisphere variability
  - Wei Shang, Xuejuan Ren, Bo Huang, Ulrich Cubasch and Xiu-qun Yang, 2018: Subseasonal intensity variations of the South Asian high in relationship to diabatic heating: observation and CMIP5 models. *Clim. Dyn.*, doi.org/10.1007/s00382-018-4266-4

- Linking regional sub seasonal variability with diabatic heat sources
- Bo Huang, Ulrich Cubasch and Yan Li, 2018: East-Asian Summer Monsoon Representation in Re-Analysis Datasets. *Atmosphere*, 9, 235, , doi:10.3390/atmos9060235
  - Evaluation of the description of the regional variability in different re-analysis Data sets
- Bal, S., S. Schimanke, T. Spanghel and U. Cubasch, 2018: Enhanced mean meridional circulation during the evolution of split type sudden stratospheric warming in observations and model simulations. *J. Earth Syst. Sci.* 127:68, doi 10.1007/s12040-018-0972-x
  - variability of SSWs and connection to meridional circulation
- Ohgaito, R., Abe-Ouchi, A., O'ishi, R., Takemura, T., Ito, A., Hajima, T., Watanabe, S., and Kawamiya, M.: Effect of high dust amount on surface temperature during the Last Glacial Maximum: a modelling study using MIROC-ESM, *Clim. Past*, 14, 1565-1581, <https://doi.org/10.5194/cp-14-1565-2018>, 2018, <https://doi.org/10.5194/cp-14-1565-2018>
  - Effect of aerosols on climate is major uncertainty for future projection. This paper discusses how the glaciogenic dust can affect the LGM climate. A possibility of less cooling by high dust load surrounding of Antarctica is suggested.
- Chevalier, M., Brewer, S., Chase, B.M., 2017. Qualitative assessment of PMIP3 rainfall simulations across the eastern African monsoon domains during the mid-Holocene and the Last Glacial Maximum. *Quat. Sci. Rev.* 156, 107-120., 10.1016/j.quascirev.2016.11.028
  - The paper evaluates the simulated rainfall patterns in southeastern Africa during the mid-Holocene and the LGM.
- Zanchettin, D., Khodri, M., Timmreck, C., Toohey, M., Schmidt, A., Gerber, E. P., Hegerl, G., Robock, A., Pausata, F. S. R., Ball, W. T., Bauer, S. E., Bekki, S., Dhomse, S. S., LeGrande, A. N., Mann, G. W., Marshall, L., Mills, M., Marchand, M., Niemeier, U., Poulain, V., Rozanov, E., Rubino, A., Stenke, A., Tsigaridis, K., and Tummon, F.: The Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP): experimental design and forcing input data for CMIP6, *Geosci. Model Dev.*, 9, 2701-2719, doi:10.5194/gmd-9-2701-2016, 2016, 10.5194/gmd-9-2701-2016, 2016
  - This paper presents the experimental design of the "Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP), which includes idealized experiments focused on the short-term atmospheric as well as long-term coupled response to volcanic forcing. The core idea of VolMIP is to constrain radiative forcing among the participating models to focus on the climatic response, hence reference forcing data are provided in terms of optical aerosol properties for a series of reference eruptions.
- Zanchettin, D., O. Bothe, H. F. Graf, S. J. Lorenz, J. Luterbacher, C. Timmreck, and J. H. Jungclaus (2013) Background conditions influence the decadal climate response to strong volcanic eruptions. *J. Geophys. Res. Atm.*, 118(10): 4090-4106, doi:10.1002/jgrd.50229, 10.1002/jgrd.50229
  - This study illustrates how the decadal climate response to strong volcanic eruptions depends on the background climate state at the time of the eruption and on the presence and magnitude of additional external forcings acting at the same time. It demonstrates that background climate conditions are not merely a source of additive noise for post-eruption decadal climate variability but actively influence the mechanisms involved in the post-eruption decadal evolution.
- Khodri M., Izumo T., Vialard J., Janicot S., Cassou C., Lengaigne M., Mignot J., Gastineau G., E. Guilyardi, Lebas N., Robock A. and M.J McPhaden, Tropical explosive volcanic eruptions can trigger El Niño by cooling tropical Africa, *Nature Communications*, 8, 778 (2017). , 10.1038/s41467-017-00755-6



- This paper presents new evidences for a possible interference of volcanic forcing from tropical stratospheric eruptions on ENSO cycle and discusses the underlying physical processes. Targeted climate model simulations emphasize that Pinatubo-like eruptions tend to shorten La Niñas, lengthen El Niños and induce anomalous warming when occurring during neutral states. It also presents a new mechanisms suggesting that volcanically induced cooling in tropical Africa weakens the West African monsoon, and the resulting atmospheric Kelvin wave can drive equatorial westerly wind anomalies over the western Pacific. This wind anomaly is further amplified by air-sea interactions in the Pacific, favouring an El Niño-like response.
- Stoffel M, Khodri M., Corona C., Guillet S., Poulain V., Bekki S., Guiot J., Luckman B.H., Oppenheimer C., Lebas N., Beniston M.& Masson-Delmotte V., Reconciling reconstructions and simulations of volcanic cooling, *Nature Geoscience*, 8, 784-788 (2015), [10.1038/NGEO2526](https://doi.org/10.1038/NGEO2526), 2015
  - The climatic impact of the largest volcanic events has been assessed in numerous modelling studies and tree-ring-based hemispheric temperature reconstructions. However, volcanic surface cooling derived from PMIP3 climate model simulations is systematically much stronger than the cooling seen in tree-ring-based proxies, suggesting that the proxies underestimate cooling; and/or the modelled forcing is unrealistically high. This paper present a new summer temperature reconstructions for the Northern Hemisphere from tree-ring width and maximum latewood density over the past 1,500 years in comparison to simulated climate effects of two large eruptions, in AD 1257 and 1815, using a climate model that accounts explicitly for self-limiting aerosol microphysical processes. Results reveal for the first time an agreement between modelled and tree-ring based reconstruction of mean Northern Hemisphere extra-tropical summer cooling over land estimated between 0.8 to 1.3 degC for these eruptions. This reconciliation of proxy and model evidence paves the way to improved assessment of the role of both past and future volcanism in climate forcing.
- Schenk F., Väliranta M., Muschitiello F., Tarasov L., Heikkilä M., Björck S., Brandefelt J., Johansson A.V., Näslund J.O., Wohlfarth B. (2018): Warm summers during the Younger Dryas cold reversal. *Nat. Communications* 9:1634, <https://doi.org/10.1038/s41467-018-04071-5>
  - Very cold North Atlantic Ocean states in response to a weak AMOC cause persistent atmospheric blocking over Europe during summer. Proxy-model evidence suggests warmer and very dry conditions in response to a slowdown of the AMOC consistent with observations since the 1980s.
- Berman, AL., G. Silvestri, M. Rojas, M. Tonello, 2016: Accelerated greenhouse gases versus slow insolation forcing induced climate changes in southern South America since the Mid-Holocene. *Climate Dynamics*, 48(1-2): 387-404., [10.1007/s00382-016-3081-z](https://doi.org/10.1007/s00382-016-3081-z)
  - This paper describes past climates in South America combining multiproxyreconstructions and PMIP3 models to investigate the time evolution of regional climatic conditions from the Mid-Holocene (MH) to the present.
- Berman, AL., G. Silvestri, M. Tonello, 2016: Differences between Last Glacial Maximum and present-day temperature and precipitation in southern South America. *Quaternary Science Reviews*. 150: 221 - 233., <https://doi.org/10.1016/j.quascirev.2016.08.025>
  - This paper is the first analysis of differences between Last Glacial Maximum (LGM) and present climates in South America considering PMIP3 paleoclimatic models.
- Berman, AL., G. Silvestri, M. Tonello, 2018: On the differences between Last Glacial Maximum and Mid-Holocene climates in southern South America simulated by PMIP3 models. *Quaternary Science Reviews*, 185: 113-121., <https://doi.org/10.1016/j.quascirev.2018.02.003>

- Differences between climate conditions during the Last Glacial Maximum and the Mid-Holocene in southern South America inferred from PMIP3 paleoclimatic simulations are described for the first time in this paper.
- Hakim, G. J., J. Emile-Geay, E. J. Steig, D. Noone, D. M. Anderson, R. Tardif, N. Steiger, and W. A. Perkins (2016), The last millennium climate reanalysis project: Framework and first results, *Journal of Geophysical Research: Atmospheres*, 121, 6745 - 6764, [10.1002/2016JD024751](https://doi.org/10.1002/2016JD024751)
  - Provides the first validated, global, multivariate reconstruction of climate fields based on a fusion of proxy and GCM runs.
- Ault, T. R., C. Deser, M. Newman, and J. Emile-Geay (2013), Characterizing decadal to centennial variability in the equatorial Pacific during the last millennium, *Geophysical Research Letters*, 40, 3450-3456, [10.1002/grl.50647](https://doi.org/10.1002/grl.50647)
  - Evaluates the ability of PMIP3-CMIP5 models to simulate tropical Pacific variability over the past millennium, as reconstructed from proxy data. The article identifies important disagreements between simulations and reconstructions: in the models, variability primarily reflects a thermodynamic response to reconstructed solar and volcanic activity, whereas in the reconstruction, variability arises from either internal climate processes, forced responses that differ from those in CCSM4, or non-climatic proxy processes that are not yet understood. These findings imply that the response of the tropical Pacific to future forcings may be even more uncertain than portrayed by CMIP5 because there are potentially important sources of century-scale variability that these models do not simulate.
- Lambert, F., J.-S. Kug, R. J. Park, N. Mahowald, G. Winckler, A. Abe-Ouchi, R. O'ishi, T. Takemura, and J.-H. Lee (2013), The role of mineral-dust aerosols in polar temperature amplification, *Nat. Clim. Chang.*, 3(5), 487-491, [10.1038/nclimate1785](https://doi.org/10.1038/nclimate1785)
  - Impact of high atmospheric dust loads on polar temperature
- Lambert, F., A. Tagliabue, G. Shaffer, F. Lamy, G. Winckler, L. Farias, L. Gallardo, and R. De Pol-Holz (2015), Dust fluxes and iron fertilization in Holocene and Last Glacial Maximum climates, *Geophys. Res. Lett.*, 42(14), 6014-6023, [10.1002/2015GL064250](https://doi.org/10.1002/2015GL064250)
  - Dust deposition fields for HOL and LGM climate, and associated CO2 drawdown through iron fertilization
- García-García A., Cuesta-Valero F.J., Beltrami H. and Smerdon J.E. (2016). Simulation of air and ground temperatures in PMIP3/CMIP5 last millennium simulations: implications for climate reconstructions from borehole temperature profiles. *Environmental Research Letter*, 11(4):044022., <https://doi.org/10.1088/1748-9326/11/4/044022>
  - This paper tests the methodology employed to reconstruct past ground surface temperature histories from borehole temperature profiles using simulations from the PMIP3/CMIP5 archives.
- Cuesta-Valero F.J., García-García A., Beltrami H. and Smerdon J.E. (2016). First Assessment of Continental Energy Storage in CMIP5 Simulations. *Geophysical Research Letters*, 43., <https://doi.org/10.1002/2016GL068496>
  - This paper assesses the ability of 30 CMIP5 models to reproduce the change in heat storage within the continental subsurface for the second half of the 20th century against estimates from borehole temperature profiles.
- Cuesta-Valero, F. J., García-García, A., Beltrami, H., Zorita, E., and Jaume-Santero, F.: Long-term Surface Temperature (LoST) Database as a complement for GCM preindustrial simulations, *Clim. Past Discuss.*, 2018., <https://doi.org/10.5194/cp-2018-133>
  - A database containing long-term preindustrial absolute surface temperatures over North

America is assembled and freely released for the use of the community. The long-term surface temperatures described here are estimated from geothermal data. These temperatures are useful for studying the stability of permafrost soils, as well as for evaluating the simulated preindustrial climatology, which may improve the estimated equilibrium climate sensitivity from climate models.

- Yan Qing, Wei Ting, and Zhang Zhongshi, 2017: Variations in large-scale tropical cyclone genesis factors over the western North Pacific in the PMIP3 last millennium simulations, *Climate Dynamics*, 48(3-4): 957-970., n/a
  - This paper examines the variation of tropical cyclone genesis during the last millennium
- 1. Charan Teja Tejavath, Ashok, K., Supriyo Chakraborty, and Ramesh Rengasamy, 2018: The Indian summer monsoon climate during the Last Millennium, as simulated by the PMIP3, passed discussion stage and under revision. *Clim. Past Discuss.*, <https://doi.org/10.5194/cp-2017-24>, <https://doi.org/10.5194/cp-2017-24>
  - All the PMIP3 models analysed (eight) in this study clearly show a relatively wet Indian summer monsoon conditions during medieval warm period. Moreover, this is notwithstanding that all these models show a relatively high frequency of El Niños during this period, and a significant negative correlation between the interannual signals of ENSO and area-averaged Indian summer monsoon rainfall. This is due to a slow (multi-decadal to centennial) shift in Walker circulation apparently associated with external forcing, which reduces the ENSO impacts, which reduces the El Niño-associated anomalous reduction in the rainfall in various regions of the Indian sub-continent. This is the reason why the Indian summer monsoon was relatively wet within the last millennium. The results are relevant to the current day climate when the ENSO-monsoon relationship is weakening, and a shift in Walker circulation is recorded.
- Melo-Aguilar, C., González-Rouco, J. F., García-Bustamante, E., Navarro-Montesinos, J., and Steinert, N.: Influence of radiative forcing factors on ground-air temperature coupling during the last millennium: implications for borehole climatology, *Clim. Past*, 14, 1583-1606, 2018., <https://doi.org/10.5194/cp-14-1583-2018>
  - This paper assessed the long-term surface air temperature (SAT) and ground surface temperature (GST) coupling using simulations from the CESM-LME. It shows that this coupling can be impacted by long-term changes in the surface energy fluxes over the Last Millennium, due to the influence of anthropogenic external forcings, with potential implications for borehole-based temperature reconstructions.
- Fernández-Donado, L. et al, 2013. Large-scale temperature response to external forcing in simulations and reconstructions of the last millennium. *Climate of the Past*, 9 (1), pp. 393-421., <https://doi.org/10.5194/cp-9-393-2013>
  - This paper represents an extensive review of the state-of-the-art modelling and reconstructions effort for the last millennium (LM) previous to the coordinated approach within the CMIP5-PMIP3 community, as the basis of knowledge achieved prior the agreement of LM radiative forcings. Additionally, this work provides a quantitative framework to analyse the consistency between reconstructions and simulations in their response to external forcings and the respective role of the internal variability.
- Zhang, H. et al, 2018. East Asian warm season temperature variations over the past two millennia. *Scientific reports*, 8 (1), p.7702., <https://doi.org/10.1038/s41598-018-26038-8>
  - This work presents a spatially resolved warm-season temperature reconstruction for the last 2k over the East Asian region showing the particular characteristics of climate variations during key periods of the last two thousand years and providing a comparison to PMIP3/CMIP5 climate model simulations.



- Pavón-Carrasco, F.J. et al., 2018. Multi-centennial fluctuations of radionuclide production rates are modulated by the Earth's magnetic field. *Scientific reports*, 8(1), p.9820, <https://doi.org/10.1038/s41598-018-28115-4>
  - A revision of the standard accepted assumptions to reconstruct the solar activity during the Holocene is presented in this work. It is shown that not only the solar magnetic field but also the geomagnetic field has a relevant role for the timescales of interest. The latter suggests the need of reviewing how past solar forcing estimations are obtained, with relevant implications for the solar-climate relation as well as for the present and future generation of paleo simulations.
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  - During MIS 17 (700 ka), a cold interglacial characterized by the lowest atmospheric CO<sub>2</sub> concentrations of the last 800,000 years, the Iberian Peninsula was paradoxically marked by the maximum in the Mediterranean forest expansion indicating strong winter precipitation and summer warmth. These data indicate a decoupling between global and regional climates. This work also highlights the important contribution of the westerlies leading to the strong 100-kyr ice age cycles. These atmospheric changes remain, however, a key area of uncertainty in past and future climate model simulations.

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## Chapter 3: Human influence on the climate system

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- Changes in the Southern Annular Mode over the last millennium are reconstructed. The SAM is found to have undergone a progressive shift towards its positive phase since the fifteenth century, causing cooling of the main Antarctic continent at the same time that the Antarctic Peninsula has warmed. The positive trend in the SAM since ~AD 1940 is reproduced by multi-model climate simulations forced with rising greenhouse gas levels and later ozone depletion, and the long-term average SAM index is now at its highest level for at least the past 1,000 years.
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## Chapter 4: Future global climate: scenario-based projections and near-term information

Number of selected references: **25**

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  - We look at changes in two climate modes (Atlantic Nino and Atlantic Meridional Mode) and how they respond to past/future forcing. Whilst there are robust changes not obvious constraints emerge across the ensemble .
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  - CESM simulations of both the 1815 Tambora eruption and a hypothetical analogous future eruption are compared, the latter occurring in 2085 assuming a business-as-usual climate

scenario. The 1815 eruption drove strong responses in both the ocean and cryosphere that were fundamental to driving the Year-Without-A-Summer. Through modulation of ocean stratification and near-surface winds, global warming contributes to an amplified surface climate response in CESM.

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  - The climatic impact of the largest volcanic events has been assessed in numerous modelling studies and tree-ring-based hemispheric temperature reconstructions. However, volcanic surface cooling derived from PMIP3 climate model simulations is systematically much stronger than the cooling seen in tree-ring-based proxies, suggesting that the proxies underestimate cooling; and/or the modelled forcing is unrealistically high. This paper present a new summer temperature reconstructions for the Northern Hemisphere from tree-ring width and maximum latewood density over the past 1,500 years in comparison to simulated climate effects of two large eruptions, in AD 1257 and 1815, using a climate model that accounts explicitly for self-limiting aerosol microphysical processes. Results reveal for the first time an agreement between modelled and tree-ring based reconstruction of mean Northern Hemisphere extra-tropical summer cooling over land estimated between 0.8 to 1.3 degC for these eruptions. This reconciliation of proxy and model evidence paves the way to improved assessment of the role of both past and future volcanism in climate forcing.
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Letters, 40, 3450-3456, 10.1002/grl.50647

- Evaluates the ability of PMIP3-CMIP5 models to simulate tropical Pacific variability over the past millennium, as reconstructed from proxy data. The article identifies important disagreements between simulations and reconstructions: in the models, variability primarily reflects a thermodynamic response to reconstructed solar and volcanic activity, whereas in the reconstruction, variability arises from either internal climate processes, forced responses that differ from those in CCSM4, or non-climatic proxy processes that are not yet understood. These findings imply that the response of the tropical Pacific to future forcings may be even more uncertain than portrayed by CMIP5 because there are potentially important sources of century-scale variability that these models do not simulate.
- Lowry, D.P. and Morrill, C. 2018. Is the Last Glacial Maximum a reverse analog for future hydroclimate changes in the Americas? *Climate Dynamics*, 10.1007/s00382-018-4385-y
  - Future hydroclimate change is expected to generally follow a wet-get-wetter, dry-get-drier (WWDD) pattern, yet key uncertainties remain regionally and over land. We analyze 6 PMIP3 LGM simulations and show that, in some regions of North and South America, LGM hydroclimate changes could provide some insight into future changes in precipitation-evaporation.
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- Morrill, C., Lowry, D.P., Hoell, A. 2018. Thermodynamic and dynamic causes of pluvial conditions during the Last Glacial Maximum in Western North America. *Geophysical Research Letters* 45, 335-345., 10.1002/2017GL075807
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- Lee, J.Y. and Wang, B., 2014. Future change of global monsoon in the CMIP5. *Climate Dynamics*, 42(1-2), pp.101-119., 10.1007/s00382-012-1564-0
  - Global monsoon is a fundamental mode of global climate, and this work discusses its future change.
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  - Here we use post AD 1500 palaeoclimate records to show that sustained industrial-era warming of the tropical oceans first developed during the mid-nineteenth century and was nearly synchronous with Northern Hemisphere continental warming. The early onset of sustained, significant warming in palaeoclimate records and model simulations suggests that greenhouse forcing of industrial-era warming commenced as early as the mid-nineteenth century and included an enhanced equatorial ocean response mechanism. The development of Southern Hemisphere warming is delayed in reconstructions, but this apparent delay is not reproduced in climate simulations. Our findings imply that instrumental records are too short to comprehensively assess anthropogenic climate change and that, in some regions, about 180 years of industrial-era warming has already

caused surface temperatures to emerge above pre-industrial values, even when taking natural variability into account.

- Prado, L. F., Wainer, I., Chiessi, C. M. (2013). Mid-Holocene PMIP3/CMIP5 model results: Intercomparison for the South American Monsoon System. *The Holocene*, Vol 23, Issue 12, 1915-1920., [10.1177/0959683613505336](https://doi.org/10.1177/0959683613505336)
  - This paper contains an updated proxy compilation for South American Monsoon System during the Mid-Holocene, and also a data-model comparison using the PMIP3 models.
- Chandan and Peltier 2018: On the mechanisms of warming the mid-Pliocene and the inference of a hierarchy of climate sensitivities with relevance to the understanding of climate futures. *Climate of the past*, 14, 825-856, <https://doi.org/10.5194/cp-14-825-2018>
  - This paper derives a hierarchy of climate sensitivities, applicable on various timescales, from modelling studies of the future-like warm period of the mid-Pliocene.
- Sánchez Goñi, M.F., Ferretti, P., Polanco-Martinez, J.M., Rodrigues, T., Alonso-Garcia, M., Rodriguez-Tovar, F.J., Dorador, J., Desprat, S. (2019). Pronounced northward shift of the westerlies during MIS 17 leading to the strong 100-kyr ice age cycles. *Earth and Planetary Science Letters* 511, 117-129., <https://doi.org/10.1016/j.epsl.2019.01.032>.
  - During MIS 17 (700 ka), a cold interglacial characterized by the lowest atmospheric CO<sub>2</sub> concentrations of the last 800,000 years, the Iberian Peninsula was paradoxically marked by the maximum in the Mediterranean forest expansion indicating strong winter precipitation and summer warmth. These data indicate a decoupling between global and regional climates. This work also highlights the important contribution of the westerlies leading to the strong 100-kyr ice age cycles. These atmospheric changes remain, however, a key area of uncertainty in past and future climate model simulations.

## Chapter 5: Global carbon and other biogeochemical cycles and feedbacks

Number of selected references: 9

- Lambert, F., A. Tagliabue, G. Shaffer, F. Lamy, G. Winckler, L. Farias, L. Gallardo, and R. De Pol-Holz (2015), Dust fluxes and iron fertilization in Holocene and Last Glacial Maximum climates, *Geophys. Res. Lett.*, 42(14), 6014-6023, [10.1002/2015GL064250](https://doi.org/10.1002/2015GL064250)
  - Dust deposition fields for HOL and LGM climate, and associated CO<sub>2</sub> drawdown through iron fertilization
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## Chapter 6: Short-Lived Climate Forcers

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- This paper presents the experimental design of the “Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP), which includes idealized experiments focused on the short-term atmospheric as well as long-term coupled response to volcanic forcing. The core idea of VolMIP is to constrain radiative forcing among the participating models to focus on the climatic response, hence reference forcing data are provided in terms of optical aerosol properties for a series of reference eruptions.
- Zanchettin, D., O. Bothe, H. F. Graf, S. J. Lorenz, J. Luterbacher, C. Timmreck, and J. H. Jungclauss (2013) Background conditions influence the decadal climate response to strong volcanic eruptions. *J. Geophys. Res. Atmos.*, 118(10): 4090-4106, doi:10.1002/jgrd.50229, 10.1002/jgrd.50229
  - This study illustrates how the decadal climate response to strong volcanic eruptions depends on the background climate state at the time of the eruption and on the presence and magnitude of additional external forcings acting at the same time. It demonstrates that background climate conditions are not merely a source of additive noise for post-eruption decadal climate variability but actively influence the mechanisms involved in the post-eruption decadal evolution.
- Khodri M., Izumo T., Vialard J., Janicot S., Cassou C., Lengaigne M., Mignot J., Gastineau G., E. Guilyardi, Lebas N., Robock A. and M.J McPhaden, Tropical explosive volcanic eruptions can trigger El Niño by cooling tropical Africa, *Nature Communications*, 8, 778 (2017). , 10.1038/s41467-017-00755-6
  - This paper presents new evidences for a possible interference of volcanic forcing from tropical stratospheric eruptions on ENSO cycle and discusses the underlying physical processes. Targeted climate model simulations emphasize that Pinatubo-like eruptions tend to shorten La Niñas, lengthen El Niños and induce anomalous warming when occurring during neutral states. It also presents a new mechanisms suggesting that volcanically induced cooling in tropical Africa weakens the West African monsoon, and the resulting atmospheric Kelvin wave can drive equatorial westerly wind anomalies over the western Pacific. This wind anomaly is further amplified by air-sea interactions in the Pacific, favouring an El Niño-like response.
- Stoffel M, Khodri M., Corona C., Guillet S., Poulain V., Bekki S., Guiot J., Luckman B.H., Oppenheimer C., Lebas N., Beniston M. & Masson-Delmotte V., Reconciling reconstructions and simulations of volcanic cooling, *Nature Geoscience*, 8, 784-788 (2015), 10.1038/NNGEO2526, 2015
  - The climatic impact of the largest volcanic events has been assessed in numerous modelling studies and tree-ring-based hemispheric temperature reconstructions. However, volcanic surface cooling derived from PMIP3 climate model simulations is systematically much stronger than the cooling seen in tree-ring-based proxies, suggesting that the proxies underestimate cooling; and/or the modelled forcing is unrealistically high. This paper presents a new summer temperature reconstructions for the Northern Hemisphere from tree-ring width and maximum latewood density over the past 1,500 years in comparison to simulated climate effects of two large eruptions, in AD 1257 and 1815, using a climate model that accounts explicitly for self-limiting aerosol microphysical processes. Results reveal for the first time an agreement between modelled and tree-ring based reconstruction of mean Northern Hemisphere extra-tropical summer cooling over land estimated between 0.8 to 1.3 degC for these eruptions. This reconciliation of proxy and model evidence paves the way to improved assessment of the role of both past and future volcanism in climate forcing.

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  - External forcings are found to contribute significantly towards long-term temperature variations over the last millennium, particularly from 1400 CE onwards. It is found that the recent observed 50- and 100-yr hemispheric temperature trends are substantially larger than estimates of the amplitude of internal climate variability.
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  - Changes in the Southern Annular Mode over the last millennium are reconstructed. The SAM is found to have undergone a progressive shift towards its positive phase since the fifteenth century, causing cooling of the main Antarctic continent at the same time that the Antarctic Peninsula has warmed. The positive trend in the SAM since ~AD 1940 is reproduced by multi-model climate simulations forced with rising greenhouse gas levels and later ozone depletion, and the long-term average SAM index is now at its highest level for at least the past 1,000 years.
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- This paper describes the motivation and the experimental set-ups for the PMIP4-CMIP6 past1000 simulations, and discusses the forcing agents orbital, solar, volcanic, and land use/land cover changes, and variations in greenhouse gas concentrations.
- PAGES Hydro2k Consortium (J. E. Smerdon, J. Luterbacher, S. J. Phipps, K. J. Anchukaitis, T. Ault, S. Coats, K. M. Cobb, B. I. Cook, C. Colose, T. Felis, A. Gallant, J. H. Jungclaus, B. Konecky, A. LeGrande, S. Lewis, A. S. Lopatka, W. Man, J. S. Mankin, J. T. Maxwell, B. L. Otto-Bliesner, J. W. Partin, D. Singh, N. J. Steiger, S. Stevenson, J. E. Tierney, D. Zanchettin, H. Zhang, A. R. Atwood, L. Andreu-Hayles, S. H. Baek, B. Buckley, E. R. Cook, R. D'Arrigo, S. G. Dee, M. L. Griffiths, C. Kulkarni, Y. Kushnir, F. Lehner, C. Leland, H. W. Linderholm, A. Okazaki, J. Palmer, E. Piovano, C. C. Raible, M. P. Rao, J. Scheff, G. A. Schmidt, R. Seager, M. Widmann, A. P. Williams and E. Xoplaki): Comparing proxy and model estimates of hydroclimate variability and change over the Common Era, *Climate of the Past*, 13, 1851-1900, doi:10.5194/cp-13-1851-2017, 2017., 10.5194/cp-13-1851-2017
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## Chapter 7: The Earth's Energy Budget, Climate Feedbacks, and Climate Sensitivity

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- This study illustrates how the decadal climate response to strong volcanic eruptions depends on the background climate state at the time of the eruption and on the presence and magnitude of additional external forcings acting at the same time. It demonstrates that background climate conditions are not merely a source of additive noise for post-eruption decadal climate variability but actively influence the mechanisms involved in the post-eruption decadal evolution.
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  - The climatic impact of the largest volcanic events has been assessed in numerous modelling studies and tree-ring-based hemispheric temperature reconstructions. However, volcanic surface cooling derived from PMIP3 climate model simulations is systematically much stronger than the cooling seen in tree-ring-based proxies, suggesting that the proxies underestimate cooling; and/or the modelled forcing is unrealistically high. This paper present a new summer temperature reconstructions for the Northern Hemisphere from tree-ring width and maximum latewood density over the past 1,500 years in comparison to simulated climate effects of two large eruptions, in AD 1257 and 1815, using a climate model that accounts explicitly for self-limiting aerosol microphysical processes. Results reveal for the first time an agreement between modelled and tree-ring based reconstruction of mean Northern Hemisphere extra-tropical summer cooling over land estimated between 0.8 to 1.3 degC for these eruptions. This reconciliation of proxy and model evidence paves the way to improved assessment of the role of both past and future volcanism in climate forcing.
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## Chapter 8: Water Cycle Changes

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## Chapter 9: Ocean, Cryosphere, and Sea Level Change

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## Chapter 10: Linking Global to Regional Climate Change

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  - This study illustrates how the decadal climate response to strong volcanic eruptions depends on the background climate state at the time of the eruption and on the presence and magnitude of additional external forcings acting at the same time. It demonstrates that background climate conditions are not merely a source of additive noise for post-eruption decadal climate variability but actively influence the mechanisms involved in the post-eruption decadal evolution.
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- This paper presents new evidences for a possible interference of volcanic forcing from tropical stratospheric eruptions on ENSO cycle and discusses the underlying physical processes. Targeted climate model simulations emphasize that Pinatubo-like eruptions tend to shorten La Niñas, lengthen El Niños and induce anomalous warming when occurring during neutral states. It also presents a new mechanisms suggesting that volcanically induced cooling in tropical Africa weakens the West African monsoon, and the resulting atmospheric Kelvin wave can drive equatorial westerly wind anomalies over the western Pacific. This wind anomaly is further amplified by air-sea interactions in the Pacific, favouring an El Niño-like response.
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    - The climatic impact of the largest volcanic events has been assessed in numerous modelling studies and tree-ring-based hemispheric temperature reconstructions. However, volcanic surface cooling derived from PMIP3 climate model simulations is systematically much stronger than the cooling seen in tree-ring-based proxies, suggesting that the proxies underestimate cooling; and/or the modelled forcing is unrealistically high. This paper present a new summer temperature reconstructions for the Northern Hemisphere from tree-ring width and maximum latewood density over the past 1,500 years in comparison to simulated climate effects of two large eruptions, in AD 1257 and 1815, using a climate model that accounts explicitly for self-limiting aerosol microphysical processes. Results reveal for the first time an agreement between modelled and tree-ring based reconstruction of mean Northern Hemisphere extra-tropical summer cooling over land estimated between 0.8 to 1.3 degC for these eruptions. This reconciliation of proxy and model evidence paves the way to improved assessment of the role of both past and future volcanism in climate forcing.
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    - This paper provides a full moisture budget analysis of North America during the LGM, which gives context for and a comparison point for similar analyses of future hydroclimate changes.
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    - Very cold North Atlantic Ocean states in response to a weak AMOC cause persistent atmospheric blocking over Europe during summer. Proxy-model evidence suggests warmer and very dry conditions in response to a slowdown of the AMOC consistent with observations since the 1980s.
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  - Evaluates the ability of PMIP3-CMIP5 models to simulate tropical Pacific variability over the past millennium, as reconstructed from proxy data. The article identifies important disagreements between simulations and reconstructions: in the models, variability primarily reflects a thermodynamic response to reconstructed solar and volcanic activity, whereas in the reconstruction, variability arises from either internal climate processes, forced responses that differ from those in CCSM4, or non-climatic proxy processes that are not yet understood. These findings imply that the response of the tropical Pacific to future forcings may be even more uncertain than portrayed by CMIP5 because there are potentially important sources of century-scale variability that these models do not simulate.
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  - Future hydroclimate change is expected to generally follow a wet-get-wetter, dry-get-drier (WWDD) pattern, yet key uncertainties remain regionally and over land. We analyze 6 PMIP3 LGM simulations and show that, in some regions of North and South America, LGM hydroclimate changes could provide some insight into future changes in precipitation-evaporation.
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  - A new 25,000-year temperature reconstruction from Mount Kenya, East Africa, demonstrates that cooling during the Last Glacial Maximum was amplified with elevation. Comparison of our data with PMIP3 LGM simulations indicates that state-of-the-art models underestimate this lapse-rate change.
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due to the wind-thermocline feedback. The remnant ice sheet does not induce significant change in ENSO. It exhibits a slight SST variability increase at the east coast and a reduction in the middle of the basin driven by the net. The freshwater flux forcing strengthens the amplitude of EP El Niño events. This manuscript helps thus to understand the diversity of EL Niño event and the response of El Niño to external forcings.

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- PAGES Hydro2k Consortium (J. E. Smerdon, J. Luterbacher, S. J. Phipps, K. J. Anchukaitis, T. Ault, S. Coats, K. M. Cobb, B. I. Cook, C. Colose, T. Felis, A. Gallant, J. H. Jungclauss, B. Konecky, A. LeGrande, S. Lewis, A. S. Lopatka, W. Man, J. S. Mankin, J. T. Maxwell, B. L. Otto-Bliesner, J. W. Partin, D. Singh, N. J. Steiger, S. Stevenson, J. E. Tierney, D. Zanchettin, H. Zhang, A. R. Atwood, L. Andreu-Hayles, S. H. Baek, B. Buckley, E. R. Cook, R. D'Arrigo, S. G. Dee, M. L. Griffiths, C. Kulkarni, Y. Kushnir, F. Lehner, C. Leland, H. W. Linderholm, A. Okazaki, J. Palmer, E. Piovano, C. C. Raible, M. P. Rao, J. Scheff, G. A. Schmidt, R. Seager, M. Widmann, A. P. Williams and E. Xoplaki): Comparing proxy and model estimates of hydroclimate variability and change over the Common Era, *Climate of the Past*, 13, 1851-1900, doi:10.5194/cp-13-1851-2017, 2017., 10.5194/cp-13-1851-2017
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